

Policy for Vendor Registration for Small Track Machines and P. Way Measuring Tools

ISO procedure for Vendor Registration (<https://rdso.indianrailways.gov.in> → Vendor Interface → Vendor Registration-Guidelines → ISO Guidelines in regards to Vendor Approval Process → ISO Apex documents) will be applicable for Small Track Machines (TMM Dte.) with deviations as under from ISO procedure.

SN	ISO Document No.	Clause No.	Existing Clause	Clause applicable for STMs of TMM Directorate
1.	QO-D-8.1.5 (version1.1) Application for Registration of Vendor	4.0 (Note)	<p>RDSO shall permit only the OEMs to register. Traders / resellers are not permitted. However, in case of overseas OEMs the firms can appoint an inshore firm duly authorized to interact on all issues pertaining to the process of registration. The instrument of such authorization shall be submitted by the authorized firm along with the application form.</p> <p>RDSO shall grant approval only to the OEM.</p>	<p>Vendors who are foreign firms and having no manufacturing facility in India may appoint their authorized representative /agent based in India who can apply for registration subject to fulfilling the following requirements:</p> <p>(a) Authorized representative /agents shall have desired infrastructure in India for conducting in-house type tests and for assembling of components wherever applicable.</p> <p>(b) Authorized representative /agent shall have adequate repair/service support set-up in India for prompt after sale service and due AMC.</p> <p>(c) Authorized representative /agent shall maintain an adequate level of inventory of spares required for breakdown and timely AMC service requirement in India and any other after sale support as per condition of contract.</p> <p>(d) Authorized representative /agent shall have legal authorization certificate from the OEM regarding supply of item (s) to IR /Government establishments fulfilling of above condition, valid for minimum five years period.</p>

				<p>(e) The item must be suitable and sustain for Indian environmental condition for its life time with prescribed hours of daily use.</p> <p>(f) Authorized representative /agent shall submit a valid Bank Guarantee as per the terms and conditions of supply contract with validity for five years+ six months.</p>
2.	<p>QO-D-8.1.6 (version1.2) Vendor Application Processing</p>	<p>4.3.3.2 (First Para)</p>	<p>Outsourcing of some minor activities by any vendor will require approval of the directorate head. It should be incorporated in QAP and got to be verified during assessment of the firm.</p>	<p>Out-sourcing of “Off the shelf components” for manufacturing of STM may be agreed by TM Directorate, due to its small volume, subject to overall responsibility of the STM for quality and performance shall rest with the approved vendor including the outsourced components. It should be incorporated in QAP and got to be verified during assessment of the firm.</p>
3.	<p>QO-D-8.1-6 (Version No: 1.2) Vendor Application Processing</p>	<p>4.6 Visit to firm’s premises (First para)</p>	<p>After the acceptance of application, nominated RDSO official(s) shall visit the firm’s premises for STR verification and capability assessment. Visit of manufacturing works by an officer is mandatory for cases of fresh registration of vendors.</p>	<p>After the acceptance of application, nominated RDSO official(s) shall visit the firm’s premises for STR/specification & QAP and capability assessment. Visit of manufacturing works by an officer/officials nominated by Directorate Head is mandatory for cases of fresh registration of vendors.</p>
4.	<p>QO-D-8.1-6 (Version No: 1.2) Vendor Application Processing</p>	<p>4.8</p>	<p>Test samples and charges</p>	<p>The nominated officer for capability assessment after verifying STR/specification & QAP and other items satisfactorily should communicate approval for manufacturing sample (or prototype, where applicable) and or pick up test sample (or prototype, where applicable) as per specification where testing at RDSO is required. Criteria- Capacity cum capability assessment may consists of arrangements for sub assembly/assembly of machine, prototype testing</p>

				infrastructure, verification of work premises of the firm, compliance of specification, QAP, ISO, factory license, electricity bill etc. must be verified.
5.	QO-D-8.1.7 (Ver.1) Relaxation of Vendor registration requirements	Full Documents	ISO Apex document No.QO-D-8.1.7 (Ver.1) is not applicable for STMs.
6.	QO-D-8.1- 10 (Ver. I) Vendor sample type testing	4.1 Sample lot	At the time of fresh registration, the firm shall be asked to manufacture a sample lot as per the specification.	At the time of fresh registration, the firm shall be asked to manufacture requisite no. of prototypes (as the case may be) as per the specification. After successful testing, one prototype sample shall be preserved at RDSO for a period of minimum one year or till a successful supply is completed in the field and its quality advised is satisfactory or as advised by RDSO. There will not be any commercial obligation on part of RDSO/IR.”
7	QO-D-8.1- 10 (Ver. I) Vendor sample type testing	4.5 Re-testing	Having been satisfied with the steps taken by the vendor, the vendor shall be asked to offer samples for retesting. Firm should submit internal test result prior to the visit of inspecting officer to their premises. The RDSO official will pick up samples and seal the samples from a lot already in the store or manufactured in his presence as per requirement of RDSO for retesting.	Having being satisfied with the steps taken by the vendor, the vendor may be asked to offer fresh/ modified prototype sample for retesting. Firm should submit Internal Test Report (ITR) prior to visit of inspecting official to their premises. The prototype sample/samples shall be retested for all the parameters as per the specifications. Testing charges for retest shall be payable by the firm in advance, as applicable if three or more than three vendors are already approved by RDSO.

8.	QO-D-8.1-13 (Ver. 1.1) Quality Audit of Approved Vendor	4.2 Procedure for Quality Audit (First line)	Firm's compliance of STR /Specification, Bill of material and QAP must be verified.	Firm's compliance of specification & QAP must be verified.									
		4.2 (Last para)	Quality audit is to be done by officer only.	Quality audit is to be done by officer/official nominated by the directorate head.									
09.	QO-F-8.1.2 Ver. 1 Intimation of 'Fresh Registration' and Form for – deciding 'Quality Audit' of Vendors	Annexure-1	Sub: Fresh Registration of firm for inclusion in the 'List of Approved Vendors' / 'List of RDSO Vendors for Developmental Orders' (Strike out whichever not applicable) as per STR	Sub: Fresh Registration of firm for inclusion in the 'List of Approved Vendors' / 'List of RDSO Vendors for Developmental Orders' (Strike out whichever not applicable) as per Specification no.									
		Annexure-1	**Firm RDSO approved initials, **Firms Approved Allotted Code/initials by RDSO i.e. say M/s Tata Steel as "TS" for marking of the Items.	Not applicable for STM as full details of manufacturer is available on the STM as per specification.									
10.	QO-F-8.1-7 (Version No: 1.) List of Documents to be sought from Vendor at the time of Registration & Annexures/ Forms	4.0 (SN-3)	<table border="1"> <tr> <td rowspan="3">Statutory complian ces (as applicabl e)</td> <td>Factory license</td> </tr> <tr> <td>National small industries corporation (NSIC) registration</td> </tr> <tr> <td>Micro/Small scale industry (SSI) registration</td> </tr> </table>	Statutory complian ces (as applicabl e)	Factory license	National small industries corporation (NSIC) registration	Micro/Small scale industry (SSI) registration	<table border="1"> <tr> <td rowspan="4">Statutory compliance s(as applicable)</td> <td>Factory license.</td> </tr> <tr> <td>National small industries corporation (NSIC) registration</td> </tr> <tr> <td>Micro/Small scale industry (SSI) registration</td> </tr> <tr> <td>Other document related to establishment like trader license as per Shops and establishment Act, with the permission of Directorate head is recommended.</td> </tr> </table>	Statutory compliance s(as applicable)	Factory license.	National small industries corporation (NSIC) registration	Micro/Small scale industry (SSI) registration	Other document related to establishment like trader license as per Shops and establishment Act, with the permission of Directorate head is recommended.
Statutory complian ces (as applicabl e)	Factory license												
	National small industries corporation (NSIC) registration												
	Micro/Small scale industry (SSI) registration												
Statutory compliance s(as applicable)	Factory license.												
	National small industries corporation (NSIC) registration												
	Micro/Small scale industry (SSI) registration												
	Other document related to establishment like trader license as per Shops and establishment Act, with the permission of Directorate head is recommended.												
11.	QO-F-8.1-8 (Ver.1)	Section II Technical Informatio n	Compliance of schedule of Technical Requirements (STR) (attach para wise compliance /verified proforma).	Compliance of schedule of Technical Requirements (Specification) (attach para wise compliance /verified proforma).									

12.	QO-F-8.1-9 (Version I) Quality Audit Report	Quality Audit Report: (SN- 1) II	Compliance of STR requirements/item specific guidelines (para wise).	Compliance of Specification requirement /item, specific guidelines (para wise).
13.	QO-D-8.1-15 (Version I) Product development	4.5.12	RDSO vendor approval process shall be followed as required for development of vendors for the specification so finalized.	<p>In view of it, no deviation is required from ISO Document QO-D-8.1-15 for STMs, except deleting of word STR in clause no. 4.1.4, 4.2.5, 4.3.5, 4.4.5 & 4.5.4.</p> <p>However, for existing STMs under trial in the List of Registration Under Trial Vendors, the vendors shall be upgraded to regular list as per prevailing STM policy at the time of registration of those vendors. The performance report will be put up to the Directorate Head who will decide for its regular use.</p>
14.	QO-D-8.1-11 (Version 1.1) Vendor - Changes in approved status	4.1.1 (b)	<i>Criteria (i) The vendor should have supplied minimum specified quantity (N) of material as specified by concerned design/ QA dte as a, "list of approved vendor for developmental order" posted on RDSO website vendor. To be in service for a minimum period of one year.</i>	Proposed quantities are attached as annexure.

Annexure

**Small Track Machines Minimum Qualifying Quantities for Up-gradation from Developmental
Order to Regular Category**

S. No.	Name of Machine	Q. Qty.
1.	Abrasive Rail Cutter	04
2.	Rail Cutting Wheel Abrasive Disc	100
3.	Rail Drilling Machine	04
4.	Heavy Duty Hydraulic Extractor for Jammed ERCs (10 ton)	01
5.	Hydraulic Rail Bender (Jim Crow) Heavy Duty	01
6.	Weld Trimmer Power Pack Version for A.T. Welding	01
7.	Hand Operated Weld Trimmer	01
8.	Rail Profile Weld Grinder	02
9.	Toe Load Measuring Device (Mechanical)	01
10.	Electronic Toe Load Measuring Device	02
11.	Hydraulic Track Jack 15t capacity (non-infringing type)	04
12.	Mechanical Track Jack 8t capacity (non- infringing type) (Rack & Pinion type)	04
13.	Mechanical Track Jack 8t capacity (non- infringing type) (Rack & Pawl type)	02
14.	Hydraulic Sleeper Spacer	01
15.	Portable DC Welding Generator	01
16.	Light Weight Rail (Mono) cum Road Trolley	02
17.	Self Propelled Light Weight Trolley	01
18.	Powered Material Trolley	01
19.	Hydraulic Rail Tensor (Non-infringing type) 70t capacity	01
20.	Hacksaw Blade for Cutting Rails	200
21.	Box type Gauge cum Level (BG & MG) alongwith Spirit Level	10
22.	Chamfering Kit (Manual)	03
23.	Chamfering Machine (Battery operated)	01
24.	Magnetic Base type Rail Thermometer	10
25.	Continuous Rail Thermometer	02
26.	Cordless Train Speed Logger	02
27.	Gang / Worksite Remote Control Hooter	01
28.	Hydraulic Track Lifting cum Slewing Device (TRALIS) 15 ton Capacity	01
29.	Off Track Tampers (Power Pack version)	01
30.	Off Track Tampers (Engine Mounted version)	01
31.	GPS (Geo Positioning System) based Foot Plate Inspection Device	02
32.	Route Data Preparation System (RDPS)	02
33.	GPS Based Oscillation Monitoring System(GPS-OMS)	02
34.	Track Based Lubricator (Hydraulic type)	02
35.	Track Based Lubricator (Electronic type)	02
